

General information about company

Scrip code	539494
NSE Symbol	
MSEI Symbol	
ISIN	INE766D01020
Name of the company	SMART FINSEC LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0.38
As on the end of previous 1st quarter	100	0.41
As on the end of previous 2nd quarter	100	0
As on the end of previous 3rd quarter	100	0
As on the end of previous 4th quarter	100	0

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	5	21639560			21639560	72.13	21639560		21639560	72.13
(B)	Public	8340	8360440			8360440	27.87	8360440		8360440	27.87
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8345	30000000			30000000	100	30000000		30000000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				72.13					21639560			
(B)	Public				27.87					7245440	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					28885000	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	21639560			21639560	72.13	21639560		21639560	72.13
Sub-Total (A)(1)		5	21639560			21639560	72.13	21639560		21639560	72.13
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		5	21639560			21639560	72.13	21639560		21639560	72.13
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	8241	5584810			5584810	18.62	5584810		5584810	18.62
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	3	2298422			2298422	7.66	2298422		2298422	7.66
(i)	Non Resident Indians (NRIs)	39	113519			113519	0.38	113519		113519	0.38
(l)	Bodies Corporate	9	156516			156516	0.52	156516		156516	0.52
(m)	Any Other (specify)	48	207173			207173	0.69	207173		207173	0.69
Sub-Total (B)(4)		8340	8360440			8360440	27.87	8360440		8360440	27.87
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		8340	8360440			8360440	27.87	8360440		8360440	27.87
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8345	30000000			30000000	100	30000000		30000000	100
Total (A+B+C)		8345	30000000			30000000	100	30000000		30000000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				72.13					21639560			
Sub-Total (A) (1)				72.13					21639560			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				72.13					21639560			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(g)				18.62					4542810	0	0	0
(h)				7.66					2298422	0	0	0
(i)				0.38					50519	0	0	0
(l)				0.52					146516	0	0	0
(m)				0.69					207173	0	0	0
Sub-Total (B) (4)				27.87					7245440	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				27.87					7245440	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					28885000			
Total (A+B+C)				100					28885000			

Individuals/Hindu undivided Family

Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	ARUN KHERA (HUF)	ARUN KHERA	RAMAN KHERA	SACHIT KHERA	SUNAINA KHERA	Click here to go back
PAN (II)	AAAHA5519G	AAIPK9892N	AAIPK9893P	BBYPK2991N	CGUPK6052L	Total
No. of fully paid up equity shares held (IV)	3725000	8385270	8553270	900000	76020	21639560
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3725000	8385270	8553270	900000	76020	21639560
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.42	27.95	28.51	3	0.25	72.13
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	3725000	8385270	8553270	900000	76020	21639560
Class eg:y						
Total	3725000	8385270	8553270	900000	76020	21639560
Total as a % of Total Voting rights	12.42	27.95	28.51	3	0.25	72.13
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	12.42	27.95	28.51	3	0.25	72.13
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	3725000	8385270	8553270	900000	76020	21639560
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	

Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.

Searial No.	1	
Name of the Shareholders (I)	1 TO 8241	Click here to go back
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	5584810	5584810
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5584810	5584810
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.62	18.62
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5584810	5584810
Class eg:y		
Total	5584810	5584810
Total as a % of Total Voting rights	18.62	18.62
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	18.62	18.62
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in	4542810	4542810

dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Text Block

Textual Information(1)

PAN IS NOT MANDATORY FOR SHAREHOLDERS, HOLDING LESS THAN 1% OF SHAREHOLDING OF TOTAL PAID UP CAPITAL.

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	2	3	
Name of the Shareholders (I)	ALISHA MAKHIJA	ASHA GAMBHIR	JOGESH SACHDEVA	Click here to go back
PAN (II)	DGFPM6699B	ANGPG0691J	AHYPS6066K	Total
No. of fully paid up equity shares held (IV)	990000	825000	483422	2298422
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	990000	825000	483422	2298422
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.3	2.75	1.61	7.66
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	990000	825000	483422	2298422
Class eg:y				
Total	990000	825000	483422	2298422
Total as a % of Total Voting rights	3.3	2.75	1.61	7.66
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.3	2.75	1.61	7.66
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in	990000	825000	483422	2298422

dematerialized form (XIV)				
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

Any Other (specify)

Serial No.	1	2	
Category	Clearing Members	HUF	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	1	47	48
No. of fully paid up equity shares held (IV)	900	206273	207173
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	900	206273	207173
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.69	0.69
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	900	206273	207173
Class eg:y			
Total	900	206273	207173
Total as a % of Total Voting rights	0	0.69	0.69
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.69	0.69
Number of Locked in shares (XII)			
No. (a)			

As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	900	206273	207173
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

